

L Number	Hits	Search Text	DB	Time stamp
1	1209	((minor or teenager) or (subordinated or surrogat\$3) or affiliated or linked) near10 account	USPAT	2004/03/25 13:46
2	48511	(transfer\$) near10 (amount or funds)	USPAT	2004/03/25 13:47
3	151	((minor or teenager) or (subordinated or surrogat\$3) or affiliated or linked) near10 account) and ((transfer\$) near10 (amount or funds))	USPAT	2004/03/25 13:48
4	1534	account near10 transactions	USPAT	2004/03/25 13:49
5	4985	(account near10 (record\$3 or bookkeeping))	USPAT	2004/03/25 13:49
6	66	((minor or teenager) or (subordinated or surrogat\$3) or affiliated or linked) near10 account) and ((transfer\$) near10 (amount or funds))) and ((account near10 (record\$3 or bookkeeping)))	USPAT; US-PGPUB; EPO; JPO; DERWENT	2004/03/25 13:50

reviewed text of resumes with kwle  
 reviewed abstract  
 reviewed drawings

3/25/04



S1 10511 (SUBORDINAT? OR SURROGAT? OR AFFILIAT? OR LINKED) (5N) (AC-  
COUNT)  
S2 203376 (FUNDS OR MONEY OR AMOUNT) (4N) (TRANSFER?)  
S3 237 S1 (S) S2  
S4 17 S3 AND (MERCHANT OR VENDOR OR SELLER) (5N) (TRANSACTION)  
S5 17 S3 AND S4  
S6 17 RD S5 (unique items)  
?

*attached full list of resumes fully reviewed*

*3/25/04*



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? show files

File 350:Derwent WPIX 1963-2003/UD,UM &UP=200365  
 (c) 2003 Thomson Derwent  
 File 344:Chinese Patents Abs Aug 1985-2003/Apr  
 (c) 2003 European Patent Office  
 File 347:JAPIO Oct 1976-2003/Jun(Updated 031006)  
 (c) 2003 JPO & JAPIO  
 File 371:French Patents 1961-2002/BOPI 200209  
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 File 35:Disertation Abs Online 1861-2003/Sep  
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 (c)2003 Info.Sources Inc  
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 (c) 2003 The New York Times  
 File 475:Wall Street Journal Abs 1973-2003/Oct 13  
 (c) 2003 The New York Times  
 File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13  
 (c) 2002 The Gale Group

*Author*

? ds

Set	Items	Description
S1	4	AU=(CHEONG, L? OR CHEONG L? OR MASON J? OR MASON, J? OR VO- GT, D? OR VOGT D?) AND (CREDIT()CARD? ? OR SURROGATE? ?)
S2	4	RD (unique items)

? t2/3,k/all

2/3,K/1 (Item 1 from file: 350)  
 DIALOG(R)File 350:Derwent WPIX  
 (c) 2003 Thomson Derwent. All rts. reserv.

014854642

WPI Acc No: 2002-675348/200272

Related WPI Acc No: 2001-281206

XRPX Acc No: N02-533994

Proxy server for transparently controlling electronic commerce

transactions assigns its own credit card number to a user without a  
 card but with other funds to load the card account

Patent Assignee: COCA-COLA CO (COKE )

Inventor: VOGT D

Number of Countries: 099 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200277756	A2	20021003	WO 2002US6663	A	20020326	200272 B

Priority Applications (No Type Date): US 2001819521 A 20010327

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
WO 200277756	A2	E	39 G06F-000/00	

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA  
 CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN  
 IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ  
 OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG UZ VN YU ZA

*U.S. PAT App 2001/0037292*



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ZM ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR  
IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZM ZW

**Proxy server for transparently controlling electronic commerce  
transactions assigns its own credit card number to a user without a  
card but with other funds to load the card...**

Inventor: VOGT D

Abstract (Basic):

... name to modify the reference to the remote server and insert a  
reference to a **surrogate** server which is sent to the browser.  
... Allows users without **credit cards** to use on-line e-commerce  
...

2/3,K/2 (Item 2 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2003 Thomson Derwent. All rts. reserv.

013796994 \*\*Image available\*\*

WPI Acc No: 2001-281206/200129

Related WPI Acc No: 2002-675348

XRPX Acc No: N01-200520

**Surrogate control method for electronic commerce transactions, involves  
determining amount due to complete purchase transaction after selecting  
item and credit account in surrogate electronic system**

Patent Assignee: ROCKETCASH CORP (ROCK-N); COCA-COLA CO (COKE ); VOGT D  
(VOGT-I)

Inventor: CHEONG L ; MASON J A ; VOGT D A ; VOGT D

Number of Countries: 094 Number of Patents: 004

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200073934	A2	20001207	WO 2000US14767	A	20000526	200129 B
AU 200053017	A	20001218	AU 200053017	A	20000526	200129
US 20010037292	A1	20011101	US 99136734	A	19990528	200168
			US 2000579787	A	20000526	
			US 2001819521	A	20010327	
EP 1222561	A2	20020717	EP 2000937903	A	20000526	200254
			WO 2000US14767	A	20000526	

Priority Applications (No Type Date): US 99136734 P 19990528; US 2000579787  
A 20000526; US 2001819521 A 20010327

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 200073934 A2 E 136 G06F-017/00

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY CA CH  
CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE  
KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO  
RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR  
IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TZ UG ZW

AU 200053017 A G06F-017/00 Based on patent WO 200073934

US 20010037292 A1 G06F-017/60 Provisional application US 99136734

CIP of application US 2000579787

EP 1222561 A2 E G06F-017/00 Based on patent WO 200073934

Designated States (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT  
LI LT LU LV MC MK NL PT RO SI

**Surrogate control method for electronic commerce transactions, involves**



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determining amount due to complete purchase transaction after selecting item and credit account in surrogate electronic system  
Inventor: CHEONG L ...

... MASON J A ...

... VOGT D A ...

... VOGT D

Abstract (Basic):

... The control method involves funding surrogate account in surrogate electronic system and accessing e-commerce system. After selecting an item for purchase from e-commerce system and credit account in surrogate electronic system, an amount due to complete purchase transaction is determined. Funds equal to amount...

... a) system for surrogate control of e-commerce transaction...

...c) program for surrogate control of e-commerce transaction...

...For surrogate control for e-commerce transaction...

...Allows any one not having or not eligible for credit card to shop at on-line merchant electronic store fronts. The surrogate web site does not detract from the actual on-line shopping experience. Avoids need for...

...The figure shows the block diagram of surrogate system for control of e-commerce system...

Title Terms: SURROGATE ;

2/3,K/3 (Item 3 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
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009658775 \*\*Image available\*\*  
WPI Acc No: 1993-352326/199345  
XRPX Acc No: N93-271749

Data card transaction terminal for data card e.g. credit card transactions - has embossed character reader and magnetic strip reader with separate signature capture device, and circuit responsive to card flag for communicating transaction information

Patent Assignee: MICROBILT CORP (MICR-N); NAT BANCARD CORP (NABA-N)  
Inventor: BRADY M; CAVICCHI P R; CHOUDHURI K S; DEPEW T W; EVANS J C; FRIEDMAN S K; HAMILTON J H; KLIGFELD E G; KRAHE H B; LINEY T J; MORTON M A; NAIR P B; NOBLETT P W; PHILMON G A; PRICE J F; STILLS J T; TURNER L J; VOGT D T ; VOGHT D T

Number of Countries: 002 Number of Patents: 005

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
CA 2086572	A	19930711	CA 2086572	A	19921231	199345 B
US 5357563	A	19941018	US 92820401	A	19920110	199441
			US 9379501	A	19930617	
US 5404000	A	19950404	US 92820401	A	19920110	199519
			US 9379517	A	19930618	
US 5428210	A	19950627	US 92820401	A	19920110	199531
US 5479530	A	19951226	US 92820401	A	19920110	199606
			US 9385618	A	19930630	



Search Report from Ginger R. DeMille

Priority Applications (No Type Date): US 92820401 A 19920110; US 9379501 A 19930617; US 9379517 A 19930618; US 9385618 A 19930630

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
CA 2086572	A		233	G07F-007/08	
US 5357563	A		80	H04M-011/00	Div ex application US 92820401
US 5404000	A		80	G06K-007/00	Div ex application US 92820401
US 5428210	A		90	G06K-015/20	
US 5479530	A		75	G06K-009/00	Div ex application US 92820401 Div ex patent US 5428210

Data card transaction terminal for data card e.g. credit card transactions...

...Inventor: VOGT D T

...Abstract (Equivalent): USE - E.g. credit card transaction terminal

...merchant can receive and store authorisation data. The terminal reads an account number from a credit card input into the terminal and sends the data to the transaction processing host or the...

2/3,K/4 (Item 4 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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009658774 \*\*Image available\*\*

WPI Acc No: 1993-352325/199345

XXPX Acc No: N93-271748

System for operating data card terminals for transaction chargeback protection - comprises embossed character reader using tactile imager and pattern recognition, signature capturing printer, transaction processor with host computer system and magnetic stripe reader

Patent Assignee: NAT BANCARD CORP (NABA-N)

Inventor: BRADY M; CAVICCHI P R; CHOUDHURI K S; DEPEW T W; EVANS J C; FRIEDMAN S K; HAMILTON J H; KLIGFELD E G; KRAHE H B; LINEY T J; MORTON M A; NAIR P B; NOBLETT P W; PHILMON G A; PRICE J F; STILLS J T; TURNER L J; VOGT D T

Number of Countries: 002 Number of Patents: 004

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
CA 2086570	A	19930711	CA 2086570	A	19921231	199345 B
US 5334823	A	19940802	US 92819327	A	19920110	199430
US 5386458	A	19950131	US 92819327	A	19920110	199511
			US 93148831	A	19931105	
US 5432326	A	19950711	US 92819327	A	19920110	199533
			US 94267280	A	19940628	

Priority Applications (No Type Date): US 92819327 A 19920110; US 93148831 A 19931105; US 94267280 A 19940628

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
CA 2086570	A		233	G06F-015/21	
US 5334823	A		86	G06F-015/21	
US 5386458	A		80	H04M-011/00	Div ex application US 92819327 Div ex patent US 5334823
US 5432326	A		79	G06F-015/21	Cont of application US 92819327 Cont of patent US 5334823



Search Report from Ginger R. DeMille

...Inventor: VOGT D T

- ...Abstract (Basic): USE/ADVANTAGE - For payment of goods and services using e.g. **credit cards**, debit cards, financial account cards. Conducts transactions that are chargeback protected to merchant using terminal...
  - ...Abstract (Equivalent): USE/ADVANTAGE - For operating a data card terminal, such as a **credit card** transaction terminal, providing chargeback protection services for benefit of merchants. Provides electronic and off-line...
  - ...USE/ADVANTAGE- **Credit card** transaction authorisation. Provides transaction chargeback protection in favour of merchants that assures merchants that operating...
  - ...The system is for operating a data card terminal, such as a **credit card** transaction terminal, which includes an embossed character reader and magnetic stripe reader, with a separate...
- ?



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File 15:ABI/Inform(R) 1971-2004/Mar 25  
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File 9:Business & Industry(R) Jul/1994-2004/Mar 24  
(c) 2004 Resp. DB Svcs.  
File 810:Business Wire 1986-1999/Feb 28  
(c) 1999 Business Wire  
File 275:Gale Group Computer DB(TM) 1983-2004/Mar 25  
(c) 2004 The Gale Group  
File 476:Financial Times Fulltext 1982-2004/Mar 22  
(c) 2004 Financial Times Ltd  
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(c) 2004 McGraw-Hill Co. Inc  
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File 160:Gale Group PROMT(R) 1972-1989  
(c) 1999 The Gale Group  
File 634:San Jose Mercury Jun 1985-2004/Mar 24  
(c) 2004 San Jose Mercury News  
File 148:Gale Group Trade & Industry DB 1976-2004/Mar 25  
(c)2004 The Gale Group  
File 20:Dialog Global Reporter 1997-2004/Mar 25  
(c) 2004 The Dialog Corp.  
File 35:Dissertation Abs Online 1861-2004/Feb  
(c) 2004 ProQuest Info&Learning  
File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13  
(c) 2002 The Gale Group  
File 65:Inside Conferences 1993-2004/Mar W3  
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(c) 2004 Institution of Electrical Engineers  
File 233:Internet & Personal Comp. Abs. 1981-2003/Sep  
(c) 2003 EBSCO Pub.  
File 474:New York Times Abs 1969-2004/Mar 24  
(c) 2004 The New York Times  
File 475:Wall Street Journal Abs 1973-2004/Mar 24  
(c) 2004 The New York Times  
File 99:Wilson Appl. Sci & Tech Abs 1983-2004/Feb  
(c) 2004 The HW Wilson Co.  
File 348:EUROPEAN PATENTS 1978-2004/Mar W02  
(c) 2004 European Patent Office  
File 349:PCT FULLTEXT 1979-2002/UB=20040318,UT=20040311  
(c) 2004 WIPO/Univentio  
File 347:JAPIO Nov 1976-2003/Nov(Updated 040308)  
(c) 2004 JPO & JAPIO

Set	Items	Description
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